



11-15-04

EXPRESS MAIL NO. EV335396863US

2153

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jason A. Kronz
Application No. : 09/369,114
Filed : August 5, 1999
For : HIDDEN AGENT TRANSFER PROTOCOL

Examiner : Kimberly D. Flynn
Art Unit : 2153
Docket No. : 120137.438
Date : November 12, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicant wishes to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Second Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



James A. D. White
Registration No. 43,985

Enclosures:

Postcard
Check
Form PTO-1449
Cited References (11)

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

531834

TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

Application Number	09/369,114
Filing Date	August 5, 1999
First Named Inventor	Jason A. Kronz
Art Unit	2153
Examiner Name	Kimberly D. Flynn
Attorney Docket No.	120137.438


ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449
<input checked="" type="checkbox"/> Cited References
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53
<input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Request for Corrected Filing Receipt
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address
<input type="checkbox"/> Declaration
<input type="checkbox"/> Statement under 37 CFR 3.73(b)
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC (<i>Appeal Notice, Brief, Reply Brief</i>)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>):

_____ |
|---|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number	00500
Signature			
Printed Name	James A. D. White		
Date	November 12, 2004	Reg. No.	43,985

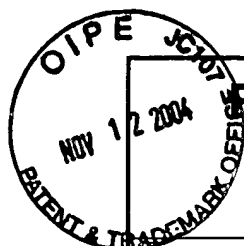
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	SENT VIA EXPRESS MAIL	Date:	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

531821



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) **180.00**

Complete if Known

Application Number **09/369,114**
 Filing Date **August 5, 1999**
 First Named Inventor **Jason A. Kronz**
 Examiner Name **Kimberly D. Flynn**
 Art Unit **2153**
 Attorney Docket No. **120137.438**

METHOD OF PAYMENT (check all that apply)

☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other
☐ Deposit Account:
 Deposit Account Number **19-1090**
 Deposit Account Name **Seed Intellectual Property Law Group PLLC**

The Director is authorized to (check all that apply)
☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any deficiencies to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1530	2254	765	Extension for reply within fourth month	
1255	2080	2255	1040	Extension for reply within fifth month	
1401	300	2401	150	Notice of Appeal	
1402	300	2402	150	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1370	2453	685	Petition to revive - unintentional	
1501	1370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

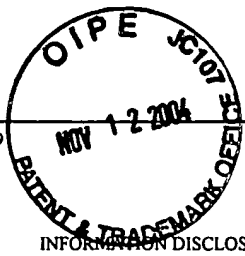
SUBTOTAL (3) **(\$)** **180.00**

SUBMITTED BY

Name (Print/Type)	James A. D. White	Registration No. Attorney/Agent	43,985	Telephone	206-622-4900	Customer Number 00500
Signature		Date	November 12, 2004			

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

531828



EXPRESS MAIL NO. EV335396863US

Sheet 1 of 2FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
120137.438APPLICATION NO.
09/369,114INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)APPLICANT
Jason A. KronzFILING DATE
August 5, 1999GROUP ART UNIT
2153

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,032,151	02/29/00	Arnold et al.	707	103	
	AB	6,185,611	02/06/01	Waldo et al.	709	221	
	AC	6,393,497	05/21/02	Arnold et al.	709	330	
	AD	6,430,599	08/06/02	Baker et al.	709	203	
	AE	6,446,070	09/03/02	Arnold et al.	707	10	
	AF	6,560,656	05/06/03	O'Sullivan et al.	709	250	
	AG	6,772,420	08/03/04	Poger et al.	719	327	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AH					
	AI					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AJ	Cisco Systems Inc., "Configuring CDP," March 30, 1999, retrieved November 9, 2004 from http://www.cisco.com/univercd/cc/td/doc/product/lan/cat5000/rel_4_5/config/cdp.htm , 4 pages.
	AK	Javvin Company, "CDP: Cisco Discovery Protocol," retrieved November 9, 2004 from http://www.javvin.com/protocolCDP.html , 3 pages.
	AL	Hiemstra, J., "CCNA 640-821 INTRO Technotes: CDP," retrieved November 9, 2004 from http://www.techexams.net/technotes/ccna/intro/cdp.shtml , 3 pages.
	AM	Sun Microsystems, Inc., "JXTA™ Technology: Creating Connected Communities," January 2004, retrieved November 8, 2004 from http://www.jxta.org/project/www/docs/JXTA-Exec-Brief.pdf , 6 pages.
	AN	GigaSpaces Technologies, Ltd., "GigaSpaces Platform – White Paper," February 25, 2002, retrieved November 8, 2004 from http://web.archive.org/web/20030607073327/j-spaces.com/download/GigaSpacesWhitePaper.pdf , 14 pages.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



EXPRESS MAIL NO. EV335396863US

Sheet 2 of 2

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
120137.438APPLICATION NO.
09/369,114INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)APPLICANT
Jason A. KronzFILING DATE
August 5, 1999GROUP ART UNIT
2153

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						

FOREIGN PATENT DOCUMENTS

*		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BF					
	BG					
	BH					
	BI					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BJ	"Technical JXTA™ FAQ," retrieved November 8, 2004 from http://www.jxta.org/JXTAFAQTech.html , 10 pages.
	BK	"General JXTA™ FAQ," retrieved November 8, 2004 from http://www.jxta.org/JXTAFAQ.html , 12 pages.
	BL	OpenP2P.com, "Joy Announces JXTA," retrieved November 8, 2004 from http://www.openp2p.com/lpt/a/627 , 2 pages.
	BM	Phrack Inc., "Chapter Four of The Future Transcendent Saga – Beyond Bitnet Lies Infinity," vol. 2, no. 24, file 4 of 13, February 12, 1989, retrieved November 8, 2004 from http://www.phrack.org/show.php?p=24&a=4 , 9 pages.
	BN	Venners, B., "Objects, the Network, and Jini," <i>Javaworld</i> , June 1999, retrieved November 8, 2004 from http://www.artima.com/jini/jiniology/introP.html , 7 pages.
	BO	Artima Software, Inc., "FAQ for JINI-USERS Mailing List," retrieved November 8, 2004 from http://www.artima.com/jini/faq.html , 20 pages.

EXAMINER

DATE CONSIDERED

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